

# A pseudodifferential calculus for maximally hypoelliptic operators and the Helffer-Nourrigat conjecture

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## Maximally hypoelliptic operators

A linear differential operator  $D$  with order  $k$  on a manifold  $M$  is

- ▶ **hypoelliptic** if

$$\text{singsupp}(u) \subseteq \text{singsupp}(Du)$$

for every distribution  $u$  on  $M$ .

- ▶ **maximally hypoelliptic** if

$$Du \in H^s(M) \Rightarrow u \in H^{s-k}(M)$$

for any  $s \in \mathbb{R}$  and every distribution  $u$  on  $M$ .

- ▶ Sobolev embedding lemma: max hypoelliptic  $\Rightarrow$  hypoelliptic

### Proposition

$D$  elliptic  $\Rightarrow D$  maximally hypoelliptic.

Kolmogorov operator on  $\mathbb{R}^2$ :

$$D = \partial_x^2 + x\partial_y$$

$D$  hypoelliptic but **not** elliptic.

# Maximally hypoelliptic operators

Ingredients of proof:

- ▶ Groupoid psdo calc  $\Psi(M) = \Psi(M \times M)$ :  $\text{Diff}(M) \subset \Psi(M)$
- ▶ Exact sequence of principal symbol:

$$0 \rightarrow \Psi^{k-1}(M) \rightarrow \Psi^k(M) \xrightarrow{\sigma_k} C(S^*M) \rightarrow 0$$

So there is parametrix  $Q$  for  $D$ :  $PQ - I, QP - I$  smoothing.

- ▶ Pseudodifferential operators as multipliers of  $C^*(M \times M)$

$$0 \rightarrow K(L^2(M)) \rightarrow \overline{\Psi^0(M)} \xrightarrow{\sigma_0} C(S^*M) \rightarrow 0$$

- ▶ Filtration of Hilbert module  $C^*(M \times M)$  by Sobolev spaces  $H^s(M)$ : Let  $P$  any elliptic operator.
  - ▶  $s > 0$ :  $H^s(M) = \text{Dom}(P)$ ,  $\langle a, b \rangle_s = \langle Pa, Pb \rangle + \langle a, b \rangle$ .
  - ▶  $H^{-s}(M) = \overline{C^*(M \times M)}^{||\cdot||^{-s}}$  with  $||\xi||_{-s} = ||(1 + P^*P)^{-1/2}\xi||$ .
  - ▶  $s > s'$  identity  $\iota_{s,s'} : H^s(M) \rightarrow H^{s'}(M)$  compact morphism of Hilbert modules.
  - ▶  $\Psi^{-\infty}(M) = \bigcap_{s,t} \mathcal{L}(H^s(M), H^t(M))$ .

# Strategy and challenges I

D **non-elliptic** differential operator.

Is there some pseudodifferential calculus, in which D is elliptic?

- 1
  - Find the correct (deformation) groupoid for D
  - Build the groupoid psdo calculus Need  $D \in \Psi^\infty$ .

2 Principal symbol? Exact sequence?

$$0 \rightarrow \Psi^{k-1} \rightarrow \Psi^k \xrightarrow{\sigma_k} \Sigma \rightarrow 0$$

3 Groupoid  $C^*$ -algebra, exact sequence

$$0 \rightarrow C^*(G) \rightarrow \overline{\Psi^0} \xrightarrow{\sigma_0} \Sigma \rightarrow 0$$

4 Filtration of  $C^*(G)$  by Sobolev modules.

Challenges arise from **singularities**:

- Deformation groupoid **not** smooth.
- Algebra of deformation groupoid **not** continuous field.
- Principal symbol  $\sigma_k$  does **not** give exact sequence

## Strategy and challenges II: Results

**D without singularities:** Rockland conjecture/theorem

(Helffer-Nourrigat, Melin): Principal symbol invertible in **every** non-trivial representation  $\Rightarrow$  hypoelliptic/Fredholm.

Proof (van Erp, Yuncken): Find appropriate (groupoid) pseudodifferential calculus and construct parametrix in this calculus.

- ▶ Deformation groupoid is smooth.  $M \times M \times \mathbb{R}_+^\times$  open + dense.
- ▶ Deformation groupoid algebra: continuous field.

**D with singularities:** Helffer-Nourrigat conjecture: Enough to check invertibility in a smaller set of representations.

# Distributions transverse to a submersion

Let  $\pi : N \rightarrow M$  (surjective) submersion.

Definition of  $\mathcal{E}'_{\pi}(N)$ :

A distribution on  $N$  transverse to  $\pi$  is a  $C^{\infty}(M)$ -linear map  $C^{\infty}(N) \rightarrow C^{\infty}(M)$ .

Example: Projection  $\pi : M \times M \rightarrow M$ . Then

$$\mathcal{E}'(M \times M) = C^{\infty}(M) \otimes \mathcal{E}'(M)$$

Distributions semi-regular on the first variable are the Schwarz kernels of continuous linear operators  $C^{\infty}(M) \rightarrow C^{\infty}(M)$ .

# Distributions transverse to a submersion

Let  $\xi \in \mathcal{X}(M)$ . How to view  $\xi$  as a distribution transverse to  $\pi$ ?

- ▶  $TM \simeq \ker(d\pi) \simeq \frac{T(M \times M)}{TM}$ . So  $\xi(p) \in T_{1_p}(M \times M)$ .
- ▶ So  $\xi$  defines linear map

$$C^\infty(M \times M) \rightarrow C^\infty(M) \quad f \mapsto (p \mapsto df_{1_p}(\xi(p)))$$

Likewise, every  $\sigma \in \Gamma AG$  is a right-invariant vector field of  $G$ , whence  $\sigma \in \mathcal{E}'_s(G)$ .

## Ingredient 2: Classical psdo calc: Debord + Skandalis view

Requirements for a pseudodifferential calculus:

**Deformation groupoid + action of  $\mathbb{R}_+^*$ .**

- ▶  $P \in \Psi\text{DO}^m(M)$  determined by Schwarz kernel

$$k_P \in \mathcal{E}'_r(M \times M) = \{\alpha : C^\infty(M \times M) \rightarrow C^\infty(M), C^\infty(M)\text{-linear}\}$$

- ▶ Action of  $\mathbb{R}_+^*$  on  $\text{DNC}(M) = TM \times \{0\} \coprod M \times M \times \mathbb{R}^*$ :

**1**  $a_\lambda(x, y, t) = (x, y, \lambda^{-1}t)$  if  $(x, y, t) \in M \times M \times \mathbb{R}^*$

**2**  $a_\lambda(x, \xi, 0) = (x, \lambda\xi, 0)$  if  $\xi \in T_x M$

**Theorem (vE-Y):**  $k \in \mathcal{E}'_r(M \times M)$  is Schwarz kernel of properly supported psdo of order **m** iff  $k = K|_{t=1}$  for some  $K \in \mathcal{E}'_r(\text{DNC}(M))$  such that  $a_{\lambda*}K - \lambda^{\text{m}}K$  is a smooth density for all  $\lambda \in \mathbb{R}_+^*$ .



## Explanations: Homogeneity of Fourier transform (Taylor)

- ▶  $A(\text{DNC}(M))$  is vector bundle  $\pi : TM \times \mathbb{R}_+ \rightarrow M \times \mathbb{R}$
- ▶ Every  $u \in \mathcal{E}'_\pi(TM \times \mathbb{R}_+)$  concentrated at  $\{0\} \times M \times \{0\}$ .
- ▶ Fourier transform:

$$\mathcal{E}'_\pi(TM \times \mathbb{R}_+) \ni u \mapsto \hat{u} \in C^\infty(T^*M \times \mathbb{R}^+)$$

- ▶ Equip  $T^*M$  with  $\mathbb{R}_+^\times$ -action  $\hat{\alpha}_\lambda \xi(X) = \xi(\alpha_\lambda(X)) \quad \forall X \in TM$ .
- ▶ Say  $A \in C^\infty((T^*M \times \mathbb{R}^+) \setminus \{0\} \times M \times \{0\})$  is *homogeneous of degree k* if

$$\hat{\alpha}_\lambda^* A = \lambda^k A$$

### Proposition (Taylor): homogeneity of Fourier transform

Let  $u$  homogeneous of degree  $k$ . Put  $\chi$  cut-off function about  $\{0\} \times M \times \{0\}$ . There is  $A \in C^\infty((T^*M \times \mathbb{R}^+) \setminus \{0\} \times M \times \{0\})$  such that  $\hat{u} - (1 - \chi)A$  is of Schwarz class.

# Symbol

## Full symbols

$S^k(T^*M \times \mathbb{R}_+)$  are  $k$ -homogeneous functions in  $C^\infty((T^*M \times \mathbb{R}^+) \setminus \{0\} \times M \times \{0\})$ .

## Principal cosymbol

$$\Sigma^k(M) = \text{ev}_0(\{K \in \mathcal{E}'_r(\text{DNC}(M)) \mid K \text{ is } k\text{-homogeneous}\})$$

(Mod out  $C_p^\infty(\text{DNC}(M), \Omega_r) \dots$ )

Cosymbol map:

$$0 \rightarrow \Psi^{k-1}(M) \rightarrow \Psi^k(M) \xrightarrow{\sigma_k} \Sigma^k(M) \rightarrow 0$$

## Example 1: How to view $\xi \in \mathcal{X}(M)$ as an order-1 psdo?

Enter foliation theory...

Put  $t\xi \in \mathcal{X}(M \times \mathbb{R})$

$$(t\xi)(p, s) = (s \cdot \xi(p), 0_s)$$

Then  $(M \times \mathbb{R}, t\mathcal{X}(M))$  *almost regular* foliation:

- ▶ Holonomy groupoid:  $DNC(M) = TM \times \{0\} \cup (M \times M) \times \mathbb{R}^*$ .
- ▶ Lie algebroid (sections):  $t\mathcal{X}(M)$ .

## Example 1: How to view $\xi \in \mathcal{X}(M)$ as an order-1 psdo?

- ▶ Distribution  $\tilde{\xi} : C^\infty(\text{DNC}(M)) \rightarrow C^\infty(M \times \mathbb{R})$  supported in  $\{0\} \times (M \times M) \times \mathbb{R}$ :

$$\langle \tilde{\xi}, f \rangle(p, s) = \mathcal{L}_{t\xi}(f)(0, p, p, s)$$

- ▶  $\mathbb{R}_+^*$ -equivariance:

$$\alpha_{\lambda*}(\tilde{\xi}) = \lambda \tilde{\xi}$$

- ▶ Evaluation at 1:

$$\text{ev}_1(\tilde{\xi}) = \xi$$

- ▶ Symbol: evaluation at 0:

$$\sigma_p^1(\xi) = \text{ev}_{(p,0)}(\tilde{\xi}) \bmod C_c^\infty(T_p M)$$

View  $\partial_x^2 + x\partial_y$  as a psdo on a deform. gpd?

e.g. Kolmogorov's plane:  $M = \mathbb{R}^2$   $P = x^2 + Y$

$$X = \partial_x, \quad Y = x\partial_y \quad [X, Y] = \partial_y$$

Order dictates **singular** Lie filtration:

$$\mathcal{D}^1 = \langle X \rangle \subseteq \mathcal{D}^2 = \langle X, Y \rangle \subseteq \mathcal{D}^3 = \mathcal{X}(\mathbb{R}^2)$$

Get **singular “adiabatic” foliation** on  $M \times \mathbb{R}$ :

$$a\mathcal{D} = t\widetilde{\mathcal{D}}^1 + t^2\widetilde{\mathcal{D}}^2 + t^3\widetilde{\mathcal{D}}^3$$

$\mathbb{R}_*^+$ -action:  $\alpha_\lambda(t^i \mathcal{D}^i) = (\lambda^i t^i) \mathcal{D}^i$

Localizations ( $C^\infty(M)$ -modules):

$$a\mathcal{D}|_{t \neq 0} = \mathcal{X}(M), \quad a\mathcal{D}|_{t=0} = \mathfrak{gr}(\mathcal{D}) = \bigoplus_{i=1}^3 \frac{\mathcal{D}^i}{\mathcal{D}^{i-1}}$$

View  $\partial_x^2 + x\partial_y$  as a psdo on a deform. gpd?

Tangent groupoid = holonomy groupoid of  $(M \times \mathbb{R}, \mathfrak{a}\mathcal{D})$ :

$$\mathcal{H}(\mathfrak{a}\mathcal{D}) = \left( \bigcup_{p \in M} \mathfrak{gr}(\mathcal{D})_p \right) \times \{0\} \bigcup (M \times M) \times \mathbb{R}^*$$

where  $\mathfrak{gr}(\mathcal{D})_p = \frac{\mathfrak{gr}(\mathcal{D})}{I_p \mathfrak{gr}(\mathcal{D})}$  nilpotent Lie algebra. Its group is:

$$\mathbb{G}\mathfrak{r}(\mathcal{D})_{(x,y)} = \begin{cases} \mathbb{R} \oplus \mathbb{R} \oplus 0, & x \neq 0 \\ \mathbb{H}^3, & x = 0 \end{cases}$$

# Singular Lie filtration

Singular Lie filtration  $\mathcal{D}^\bullet$ :

$$\mathcal{D}^1 \subseteq \mathcal{D}^2 \subseteq \dots \subseteq \mathcal{D}^{\text{top}} = \mathcal{X}(M)$$

- ▶  $\mathcal{D}^i$  locally finitely generated  $C^\infty(M)$ -submodule of  $\mathcal{X}_c(M)$
- ▶  $[\mathcal{D}^i, \mathcal{D}^j] \subseteq \mathcal{D}^{i+j}$

$(M, \mathcal{D}^\bullet) \rightsquigarrow (M \times \mathbb{R}, \mathfrak{a}\mathcal{D} = \mathfrak{t}\mathcal{D}^1 + \dots + \mathfrak{t}^{\text{top}}\mathcal{D}^{\text{top}})$  singular foliation

Adiabatic foliation  $\mathfrak{a}\mathcal{D}$ :

- 1  $\mathcal{H}(\mathfrak{a}\mathcal{D}) = \left(\bigcup_{p \in M} \mathfrak{gr}(\mathcal{D})_p\right) \times \{0\} \cup (M \times M) \times \mathbb{R}^*$
- 2  $\bigcup_{p \in M} \mathfrak{gr}(\mathcal{D})_p$  singular “bundle” of nilpotent Lie algebras.
- 3  $C^*(\mathfrak{a}\mathcal{D})$ : a  $C_0(\mathbb{R})$ - $C^*$ -algebra.

# Differential operators of the filtration

Given  $\mathcal{D}^\bullet$ , consider smallest filtration:

$$0 \subseteq C^\infty(M) \subseteq \text{Diff}_{\mathcal{D}^1}(M) \subseteq \dots \subseteq \text{Diff}_{\mathcal{D}^{N-1}}(M) \subseteq \text{Diff}(M)$$

such that:

- ▶  $\mathcal{D}^i \subseteq \text{Diff}_{\mathcal{D}^i}(M)$
- ▶  $\text{Diff}_{\mathcal{D}^i}(M)\text{Diff}_{\mathcal{D}^j}(M) \subseteq \text{Diff}_{\mathcal{D}^{i+j}}(M)$

Formal symbols:  $\Sigma^i = \frac{\text{Diff}_{\mathcal{D}^i}(M)}{\text{Diff}_{\mathcal{D}^{i-1}}(M)}$ . ( $C^\infty(M)$ -module.)

Symbol map for every  $p \in M$ :

$$\text{Diff}_{\mathcal{D}^i}(M) \xrightarrow{\sigma_p^i} \frac{\text{Diff}_{\mathcal{D}^i}(M)}{\text{Diff}_{\mathcal{D}^{i-1}}(M) + I_p \text{Diff}_{\mathcal{D}^i}(M)}$$



## Differential operators of the filtration

Example:  $M = \mathbb{R}$ ,  $\mathcal{D}^1 = \langle x^2 \partial_x \rangle$ ,  $\mathcal{D}^2 = \langle \partial_x \rangle$ . Take  $P = x \partial_x$ .

$$\sigma_p^2 : \text{Diff}(\mathbb{R}) \rightarrow \frac{\text{Diff}(\mathbb{R})}{\text{Diff}_{\langle x^2 \partial_x \rangle}(\mathbb{R}) + I_p \text{Diff}(\mathbb{R})}$$

- ▶  $P$  lives in  $I_0 \text{Diff}(\mathbb{R})$ , so  $\sigma_0^2(P) = 0$ .
- ▶ About  $p \neq 0$  we can divide by  $x$  and  $x^2$ , so rhs vanishes.

Conclusion:  $\sigma_p^2(x \partial_x) = 0$  for every  $p$ , but  $x \partial_x \notin \text{Diff}_{\mathcal{D}^1}(M)$ .

Note:

$$\text{Gr}(\mathcal{D})_p = \begin{cases} \mathbb{R} \oplus 0, & p \neq 0 \\ \mathbb{R} \oplus \mathbb{R}, & p = 0 \end{cases}$$

Let  $D \in \text{Dif}_{\mathcal{D}^k}(M)$ , presented as a sum of monomilas  $X_1 \dots X_s$  with  $X_i \in \mathcal{D}^{\alpha_i}$ , where  $\sum_{i=1}^s \alpha_i \leq k$ .

Take  $\pi$  unitary irrep of  $\mathfrak{gr}(\mathcal{D})_p$  and put

$$\sigma^k(D, p, \pi) = \sum \pi([X_1]_p) \dots \pi([X_s]_p)$$

where:

- ▶  $\sum$  means we sum only over monomials with  $\sum_{i=1}^k \alpha_i = k$ .
- ▶  $[X_i]_p \in \frac{\mathcal{D}^{\alpha_i}}{\mathcal{D}^{\alpha_i-1} + I_p \mathcal{D}^{\alpha_i}}$

**Fact:** For arbitrary  $\pi$ ,  $\sigma^k(D, p, \pi)$  depends on choice of presentation for  $D$ .

## The issue with the order of $P$ in the filtration

Surjective map:  $\mathcal{U}(\mathfrak{gr}(\mathcal{D})) \rightarrow \bigoplus_i \Sigma^i$ . Localization at  $p$ :

$$\mathcal{U}(\mathfrak{gr}(\mathcal{D})_p) \longrightarrow \bigoplus_i \frac{\text{Diff}_{\mathcal{D}^i}(M)}{\text{Diff}_{\mathcal{D}^{i-1}}(M) + I_p \text{Diff}_{\mathcal{D}^i}(M)}$$

Question: Does principal symbol at  $p$  live in  $\mathcal{U}(\mathfrak{gr}(\mathcal{D})_p)$ ?

Another example:  $X = x^2 \partial_x$ ,  $Y = x \partial_x$ ,  $Z = \partial_x$ . Filtration  $\mathcal{D}^\bullet$ :

$$\langle X \rangle \subseteq \langle Y \rangle \subseteq \langle Z \rangle$$

Put  $P = XZ - Y^2 = x^2 \partial_x^2 - (x \partial_x)^2$ . Order:

- ▶ In  $\mathcal{D}^\bullet$ ,  $\text{ord}(X) = 1$ ,  $\text{ord}(Z) = 3$ ,  $\text{ord}(Y) = 2$ , so  $\text{order}(P) = 4$ .
- ▶ Calculation:  $P = -Y$ . So  $\text{order}(P) = 2$ .
- ▶ But the group at zero is  $\mathbb{R}^3$ !

# The issue with the order of $\mathcal{P}$ in the filtration

Conclusion: Natural surjection **not** injective:

$$\mathcal{U}(\mathrm{gr}(\mathcal{D})_{\mathcal{P}}) \rightarrow \bigoplus_i \frac{\mathrm{Diff}_{\mathcal{D}}^i(M)}{\mathrm{Diff}_{\mathcal{D}}^{i-1}(M) + I_{\mathcal{P}} \mathrm{Diff}_{\mathcal{D}}^i(M)}$$

Reason: Singularities! Isomorphism when  $\mathcal{D}^\bullet$  constant rank.

**ker** of this map: “Characteristic” ideal of representations (Helffer-Nourigat ideal).

## The HN ideal as a limit set

$$\mathfrak{a}\mathcal{D}^* = (T^*M \times \mathbb{R}_+^\times) \coprod (\mathfrak{gr}(\mathcal{D}) \times \{0\})$$

Locally compact space with weakest topology making these maps continuous:

- projection  $\mathfrak{a}\mathcal{D}^* \rightarrow M \times \mathbb{R}_+;$
- For every  $X \in \mathcal{D}^i$ , the maps
$$\begin{aligned}(\xi, p, t) &\mapsto t^i \xi(X(p)) \\ (\xi, p, 0) &\mapsto \xi([X]_p)\end{aligned}$$

**Fact:**  $T^*M \times \mathbb{R}_+^\times$  **not** dense in  $\mathfrak{a}\mathcal{D}^*$ . HN ideal is the set of limits:

$$\mathcal{T}^*\mathcal{D}_p = \{\xi \in \mathfrak{gr}(\mathcal{D})_p^* : (\xi, 0) \in \overline{T^*M \times \mathbb{R}_+^\times}\}$$

### Theorem

- 1  $\mathcal{T}^*\mathcal{D}_p$  closed by coadjoint action of  $\mathbb{G}\mathfrak{r}(\mathcal{D})_p$ .
- 2 For any  $\xi \in \mathcal{T}^*\mathcal{D}_p$ ,  $\sigma^k(D, p, \pi_\xi)$  is well defined.

( $\pi_\xi$  corresponds to  $\xi$  by orbit method.)

## Examples

**1** Kolmogorov operator:  $\mathcal{T}^*\mathcal{D}_p = \mathfrak{gr}(\mathcal{D})_p$  at every  $p \in \mathbb{R}^2$ .

**2**  $\mathcal{D}^\bullet : \langle x^2 \partial_x \rangle \subseteq \langle x \partial_x \rangle \subseteq \langle \partial_x \rangle$  Then

$$\mathfrak{gr}(\mathcal{D})_p = \begin{cases} \mathbb{R}[\partial_x]_p \oplus 0 \oplus 0 & \text{if } p \neq 0 \\ \mathbb{R}[x^2 \partial_x]_p \oplus \mathbb{R}[x \partial_x]_p \oplus \mathbb{R}[\partial_x]_p & \text{if } p = 0 \end{cases}$$

We find

$$\mathcal{T}^*\mathcal{D}_p = \begin{cases} \mathbb{R} & \text{if } p \neq 0 \\ \{(\xi_1, \xi_2, \xi_3) : \xi_1 \xi_3 = \xi_2^2\} & \text{if } p = 0 \end{cases}$$

# The $C^*$ -algebra of the adiabatic foliation I

$$0 \rightarrow K(L^2(M)) \otimes C_0(\mathbb{R}_+^\times) \rightarrow C^*(\mathfrak{a}\mathcal{D}) \rightarrow C^*(\mathbb{G}\mathfrak{r}(\mathcal{D})) \rightarrow 0$$

- ▶  $C^*(\mathfrak{a}\mathcal{D})$  is a  $C_0(\mathbb{R}_+)$ - $C^*$ -algebra.
- ▶  $C^*(\mathbb{G}\mathfrak{r}(\mathcal{D}))$  is a  $C_0(M)$ - $C^*$ -algebra.
- ▶ Fiber at  $p \in M$ :  $C^*(\mathbb{G}\mathfrak{r}(\mathcal{D})_p)$
- ▶ Spectrum:  $C^*(\widehat{\mathbb{G}\mathfrak{r}(\mathcal{D})}) = \coprod_{p \in M} \widehat{\mathbb{G}\mathfrak{r}(\mathcal{D})_p}$  (quotient of  $\coprod_{p \in M} \mathfrak{gr}(\mathcal{D})_p^*$  by coadjoint action).

But  $C^*(\mathfrak{a}\mathcal{D})$  **not** continuous field of  $C^*$ -algebras!

# The $C^*$ -algebra of the adiabatic foliation II

Closed  $*$ -ideal

$$J = \{\alpha \in C^*(\mathfrak{a}\mathcal{D}) : \alpha_t = 0 \quad \forall t \in \mathbb{R}_+^\times\}$$

- ▶  $J$  concentrated at  $t = 0$ , maps injectively to closed ideal  $J_0$  of  $C^*(\mathbb{G}\mathfrak{r}(\mathcal{D}))$ .
- ▶ Put  $C_z^*(\mathfrak{a}\mathcal{D}) := C^*(\mathfrak{a}\mathcal{D})/J$ .
- ▶ Put  $C^*T\mathcal{D}$  the 0-fiber of  $C_z^*(\mathfrak{a}\mathcal{D})$ . Namely  $C^*(\mathbb{G}\mathfrak{r}(\mathcal{D}))/J_0$ .
  - ▶  $0 \rightarrow K(L^2(M)) \otimes C_0(\mathbb{R}_+^\times) \rightarrow C_z^*(\mathfrak{a}\mathcal{D}) \rightarrow C^*T\mathcal{D} \rightarrow 0$

## Definition

$$\mathcal{T}_{\text{ana}}^* \mathcal{D}_p := \{\pi \in \widehat{\mathbb{G}\mathfrak{r}(\mathcal{D})} : J_0 \subseteq \ker \pi\}$$

## Theorem (Mohsen)

$$\mathcal{T}_{\text{ana}}^* \mathcal{D}_p = \mathcal{T}^* \mathcal{D}_p$$



# Our goal

Construct pseudo-differential calculus  $\Psi(\mathcal{D}^\bullet)$  such that:

- 1 There is an algebra homomorphism  $\text{Diff}_{\mathcal{D}^\bullet}(M) \rightarrow \Psi(\mathcal{D}^\bullet)$ .
- 2 Let  $P \in \text{Diff}_{\mathcal{D}^\bullet}(M)$ . If, at each  $p \in M$ ,  $\sigma_p^i(P)$  is invertible in every representation of  $\mathcal{T}^*\mathcal{D}$ , then there is a parametrix of  $P$ .

## Building the psdo calculus: Adiabatic bisubmersions

$$(M, \mathcal{D}^\bullet) \text{ sing. Lie filtration} \leadsto \begin{cases} (M \times \mathbb{R}, \mathfrak{a}\mathcal{D}) \text{ sing. foliation} \\ \text{and } \mathbb{R}_+^* \text{ action} \end{cases}$$

Fiber of  $\mathfrak{a}\mathcal{D}$  at  $p$  :  $V = \bigoplus_{i=1}^{\text{top}} V^i$ . (Models  $\mathfrak{gr}(\mathcal{D})_p$ .)

- ▶  $V$  graded Lie algebra.
- ▶  $\mathbb{R}_+^*$ -action:  $\alpha_\lambda(\sum_{i=1}^{\text{top}} v_i) = \sum_{i=1}^{\text{top}} \lambda^i v_i$ .
- ▶  $\sharp : V \rightarrow \mathcal{X}_c(M)$  such that:
  - 1 For every  $i$ ,  $\sharp(V^i) \subseteq \mathcal{D}^i$
  - 2  $\sharp(\bigoplus_{k=1}^i V^k)$  generate  $\mathcal{D}^i$  about  $p$ .
- ▶  $\mathbb{R}_+^*$ -action on  $V \times M \times \mathbb{R}$  :  $\lambda \cdot (X, x, t) = (\alpha_\lambda(X), x, \frac{t}{\lambda})$ .

## Building the psdo calculus: Adiabatic bisubmersions

$$s, r : V \times M \times \mathbb{R} \rightarrow M \times \mathbb{R} \quad r(X, x, t) = \exp(\sharp(\alpha_t(X))(x), t)$$

$$\mathbb{U} = \{(X, x, t) : \|\alpha_t(X)\| \leq 1, x \in \mathbb{U}\}$$

- ▶  $\mathbb{U}$  is  $\mathbb{R}_+^*$ -invariant.
- ▶  $s, r : \mathbb{U} \rightarrow M$  are  $\mathbb{R}_+^*$ -equivariant.
- ▶ **Invariance by diffeomorphisms:** Let  $(V, \sharp)$  and  $(W, \sharp)$  graded bases at  $p$  and  $\mathbb{U}, \mathbb{U}'$  their bisubmersions. There exists  $\mathbb{R}_+^*$ -equivariant morphism  $\phi : \mathbb{U} \rightarrow \mathbb{U}'$ .
- ▶ **Composition:** There is a morphism  $\mathbb{U} \times_{r,s} \mathbb{U} \rightarrow \mathbb{U}$  realising the Baker-Campbell-Hausdorff formula over zero (group law).

$$\text{▶ } ev_1 : \mathcal{E}'_s(\mathbb{U}) \rightarrow \mathcal{E}'_s(\mathbf{M} \times \mathbf{M}) \quad u \mapsto (ev_1 \circ q_{\mathbb{U}})_*(u)$$

$$\text{▶ } ev_{(p,0)} : \mathcal{E}'_s(\mathbb{U}) \rightarrow \mathcal{E}'_s(\mathbf{gr}(\mathcal{D})_p) \quad u \mapsto (ev_{(p,0)} \circ q_{\mathbb{U}})_*(u)$$

## The space $\Psi(M, \mathcal{D}^\bullet)$ : “Image of $ev_1$ ”

Action of  $\mathbb{R}_+^\times$  on  $\mathcal{E}'_s(U)$ :

$$\langle \alpha_{\lambda*} u, f \rangle = \alpha_{\lambda^{-1}}^* \langle u, f \circ \alpha_\lambda \rangle$$

Let  $k \in \mathbb{C}$ . Define  $\mathcal{E}'_s{}^k(U)$  the properly supported  $u \in \mathcal{E}'_s(U)$  such that for any  $\lambda \in \mathbb{R}_+^\times$

$$\alpha_{\lambda*} u - \lambda^k u \in C_p^\infty(U)$$

( $u$  supported on  $\{0\} \times U \times \mathbb{R}_+.$ )

### Definition

$\Psi^k(M, \mathcal{D}^\bullet) = \{P \in \mathcal{E}'_s(M \times M) \text{ properly supported}\}$  such that:

- 1  $\text{singsupp}(P) \subseteq M$ .
- 2 For every  $(V, \sharp, U, U)$ ,  $f \in C_c^\infty(ev_1(U|_1))$ , there is a **lift**  $u \in \mathcal{E}'_s{}^k(U)$  such that  $fP = ev_{1*}(u)$ .

# The algebra $\Psi(M, \mathcal{D}^\bullet)$

- ▶  $C_p^\infty(M \times M) \subseteq \Psi^k(M, \mathcal{D}^\bullet)$  for any  $k$ .
- ▶  $\text{Diff}_{\mathcal{D}}^k(M) \subseteq \Psi^k(M, \mathcal{D}^\bullet)$ .
- ▶ Full symbol, adjoints...
- ▶  $P_i \in \Psi^{k_i}(M, \mathcal{D}^\bullet)$  ( $i = 1, 2$ ) then  $P_1 \star P_2 \in \Psi^{k_1+k_2}(M, \mathcal{D}^\bullet)$  defined using  $\mathbb{U} \times_{r,s} \mathbb{U} \rightarrow \mathbb{U}$  which satisfies BCH formula at 0.
- ▶  $\Psi^k(M, \mathcal{D}^\bullet) \subseteq \Psi^{k+1}(M, \mathcal{D}^\bullet)$ .
- ▶  $\Psi^\infty(M, \mathcal{D}^\bullet) \subseteq C_p^\infty(M \times M)$ .

## Local nature

Proposition:  $P \in \Psi^k(M, \mathcal{D}^\bullet)$  is quite local:

Let  $P \in \mathcal{E}'_s(M \times M)$  with  $\text{singsupp}(P) \subset M$ . Then  $P \in \Psi^k(M, \mathcal{D}^\bullet)$  iff every  $p \in M$  has neighborhood  $W$  such that  $P|_W = \text{ev}_{1,*}(u)|_W$  with  $u \in \mathcal{E}'^k_s(M \times M)$ ,

### Corollary

Suppose each  $\mathcal{D}_i$  is generated by a finite family of vector fields.

Then:  $\Psi^k(M, \mathcal{D}^\bullet) = \text{ev}_{1,*}(\mathcal{E}'^k_s(\mathbb{U})) + C^\infty_p((M \times M) \setminus \Delta_M)$

Let  $M$  compact. Then  $\mathbb{U} = G \times M$ , where  $G$  nilpotent.

## The principal symbol I : Fourier transform

Let  $u \in \mathcal{E}'^k(G \times M)$ ,  $\hat{u} \in C^\infty(\mathfrak{g}^* \times M)$  its Fourier transform.

Replace  $\hat{u}$  with  $B \in C^\infty((\mathfrak{g}^* \times M) \setminus (\{0\} \times M))$  such that:

$$\hat{\alpha}_\lambda^* B = \lambda^k B \quad \forall \lambda \in \mathbb{R}_+^\times$$

- ▶  $\mathring{C}^*(G \times M)$ : intersection of kernels of trivial rep  $C^*(G) \rightarrow \mathbb{C}$ .
- ▶  $S_0(G)$  Schwarz functions s.t.  $\hat{f}$  flat. Dense subalg. of  $\mathring{C}^*(G)$ .
- ▶ (Christ et al):  $B$  defines by convolution  $\check{B} : S_0(G) \rightarrow S_0(G)$  linear + continuous. Extends to  $G \times M$ .
- ▶ Whence  $\check{B}(\cdot, x)$  gives unbounded multiplier of  $\mathring{C}^*(G \times M)$ . (Bounded for  $k = 0$ .)
- ▶ Put  $\Sigma^*(G \times M)$  the  $C^*$ -subalgebra of  $M(\mathring{C}^*(G \times M))$  generated by these multipliers.
- ▶ Eske Ewert (2021): Spectrum is  $(\hat{G} \setminus \{\hat{1}_G\})/\mathbb{R}_+^\times$ .

## The principal symbol II

For  $u \in \mathcal{E}'^k(G \times M)$ , put  $\sigma^k(u, x)$  the unbounded multiplier of  $\mathring{C}^*(G)$  defined by  $\mathring{B}(\cdot, x)$ . Let  $\pi \in \widehat{G} \setminus \{\widehat{1}_G\}$ .

- ▶  $\pi$  gives irrep of  $\mathring{C}^*(G)$  and  $M(\mathring{C}^*(G))$ .
- ▶ Put  $\sigma^k(u, x, \pi) = \pi(\sigma^k(u, x))$ .

Let  $P \in \Psi^k(M, \mathcal{D}^\bullet)$  with global lift  $u \in \mathcal{E}'^k(G \times M)$ . Define

$$\sigma^k(P, x, \pi) = \sigma^k(\text{ev}_{0*}(u), x, \pi)$$

- ▶ For differential operators, boils down to `PrSymbDiff`.
- ▶ Quotient map  $\sharp_x : G \rightarrow \mathbb{G}r(\mathcal{D})_x$ .
- ▶ So  $\widehat{\mathbb{G}r(\mathcal{D})_x} \subseteq \widehat{G}$ .

### Theorem

If  $\pi \in \mathcal{T}^*\mathcal{D}_x \setminus \{\widehat{1}_{\mathbb{G}r(\mathcal{D})_x}\}$  then  $\sigma^k(P, x, \pi)$  is well defined.



## Exact sequence at zero order

### Proposition

Every  $P \in \Psi^0(M, \mathcal{D}^\bullet)$  defines a multiplier of  $\mathring{C}_z^* \mathfrak{a} \mathcal{D}$ .

Put  $\Sigma^* \mathcal{T}^* \mathcal{D} = \overline{\Psi^0(M, \mathcal{D}^\bullet)}_{\mathcal{K}(L^2(M))}$ . It is a  $C(M) - C^*$ -algebra.

$$0 \rightarrow \mathcal{K}(L^2(M)) \rightarrow \overline{\Psi^0(M, \mathcal{D}^\bullet)} \xrightarrow{\sigma^0} \Sigma^* \mathcal{T}^* \mathcal{D} \rightarrow 0$$

### Theorem

- 1  $\Sigma^* \mathcal{T}^* \mathcal{D}_x$  identifies naturally with quotient of  $\Sigma^* \mathbb{G} \mathfrak{r}(\mathcal{D})_x$  corresponding to the closed set of representations  $\mathcal{T}^* \mathcal{D}_x \setminus \{\hat{1}_{\mathbb{G} \mathfrak{r}(\mathcal{D})_x}\} / \mathbb{R}_+^\times$ .
- 2 For  $\pi \in \mathcal{T}^* \mathcal{D}_x \setminus \{\hat{1}_{\mathbb{G} \mathfrak{r}(\mathcal{D})_x}\}$  we have

$$\pi(\sigma^0(P, x)) = \sigma^0(P, x, \pi)$$

## Proposition (Christ et al)

There is family  $\{P_k\}_{k \in \mathbb{C}}$  of operators in  $\Psi^k(M, \mathcal{D}^\bullet)$  s.t. for all  $k, k'$

- 1  $P_k$  has a (global) lift  $u$  such that  $\sigma^k(u, x, \pi)$  is injective for every non-trivial irrep.
- 2  $P_k * P_{k'} - P_{k+k'} \in \Psi^{k+k'-1}(M, \mathcal{D}^\bullet)$ .
- 3  $P_k - P_k^* \in \Psi^{k-1}(M, \mathcal{D}^\bullet)$ .

Get filtration of  $\mathcal{K}(L^2(M))$ - $C^*$ -modules:

$$\dots H_{\mathcal{D}^\bullet}^1(M) \subseteq \mathcal{K}(L^2(M)) \subseteq H_{\mathcal{D}^\bullet}^{-1}(M) \subseteq \dots$$

- ▶ If  $k > 0$ ,  $H_{\mathcal{D}^\bullet}^k(M) \subseteq \mathcal{K}(L^2(M))$  is the domain of  $\bar{P}_k$ .  
(Is  $\mathcal{K}(L^2(M))$ - $C^*$ -module when identified with graph of  $\bar{P}_k$ .)
- ▶ If  $k < 0$  put  $H_{\mathcal{D}^\bullet}^k(M) = \mathcal{K}(H_{\mathcal{D}^\bullet}^{-k}(M), \mathcal{K}(L^2(M)))$ .
- ▶ If  $s \in \mathbb{N}$ ,  $H^{s\mathbb{N}}(M) \subseteq H_{\mathcal{D}^\bullet}^{s\mathbb{N}}(M) \subseteq H^s(M)$ .

# Affirmative answer to Helfer-Nourrigat conjecture

## Theorem (A-Mohsen-Yuncken)

Let  $P \in \Psi^0(M, \mathcal{D}^\bullet)$ . The following are equivalent.

- 1  $\sigma^0(P, x)$  left invertible for every  $x \in M$ .
- 2  $\sigma^0(P, x, \pi)$  left invertible (injective) for every  $x \in M$  and  $\pi \in \mathcal{T}^*\mathcal{D}_x \setminus \{\hat{1}_{\text{Gr}(\mathcal{D})_x}\}$ .
- 3 Bounded extension  $P : H_{\mathcal{D}}^s(M) \rightarrow H_{\mathcal{D}}^s(M)$  left invertible mod compact operators, for all  $s \in \mathbb{R}$ .
- 4 For every  $r \in \mathbb{N}$ , there is  $Q \in \Psi^0(M, \mathcal{D}^\bullet)$  such that  $Q * P - \text{id} \in \Psi^{-r}(M, \mathcal{D}^\bullet)$ .
- 5 For all  $s \in \mathbb{R}$  and any distribution  $u$  on  $M$ ,

$$Pu \in H_{\mathcal{D}}^s(M) \Rightarrow u \in H_{\mathcal{D}}^s(M)$$

If  $P \in \Psi^k(M, \mathcal{D}^\bullet)$ , apply the theorem to  $P * P_{-k}$ .

# Application 1: Hoermander's theorem and beyond

## Proposition

Let  $g$  nilpotent and  $\{x_0, x_1, \dots, x_k\}$  generating family. Let  $\alpha_1, \dots, \alpha_k \in \mathbb{N}$  even and  $\alpha_0 \in \mathbb{N}$  odd. Then, for any **non-trivial irrep**  $\pi$  of  $G$ ,  $\pi(x_0^{\alpha_0} + \sum_{i=1}^k (-1)^{\frac{\alpha_i}{2}} x_i^{\alpha_i})$  is injective.

**Proof** Let  $v \in \ker$ . The operator  $\pi(x_0^{\alpha_0} + \sum_{i=1}^k (-1)^{\frac{\alpha_i}{2}} x_i^{\alpha_i})$  is positive and  $\pi(x_0^{\alpha_0})$  self-adjoint. Whence  $v \in \ker(\pi(x_i))$  for every  $i$ . This means  $v \in \ker(\pi(g))$ , so  $v = 0$ .

## Corollary (Hoermander's theorem and beyond)

Let  $X_0, X_1, \dots, X_k$  real vector fields, bracket-generating. The operator  $X_0^{\alpha_0} + \sum_{i=1}^k (-1)^{\frac{\alpha_i}{2}} X_i^{\alpha_i})$  is maximally hypoelliptic.

**Proof** Define  $\mathcal{D}^\bullet$ , declaring  $X_i$  to have order  $\frac{\text{LCM}(\alpha_0, \dots, \alpha_k)}{\alpha_i}$ . Then  $\text{gr}(\mathcal{D})_p$  is generated by  $\{[X_i]_p\}_{i=1, \dots, k}$  at every  $p \in M$ .

## Application 2: Kohn's theorem and beyond (complex vector fields)

Let  $X_0$  real vector field and  $X_1, \dots, X_k$  complex. Assume  $TM \otimes \mathbb{C}$  generated by iterated brackets of length  $\leq N$ . Define  $\mathcal{D}^\bullet$  with:

- ▶  $X_0$  has order 2.
- ▶  $\operatorname{Re}(X_i), \operatorname{Im}(X_i)$  have order 1.

**Fact:**  $[X_i]_p \in \mathfrak{gr}_p \otimes \mathbb{C}$  do **not** always generate the whole Lie algebra. If so,  $P$  is hypoelliptic:

$$P = \sum_{i=1}^k (X_i^* X_i)^\alpha + X_0^\alpha, \quad \alpha \text{ odd}$$

### Proposition

Let  $\mathfrak{g}$  graded + nilpotent of depth  $N$ ,

$x_0 \in \mathfrak{g}_2, x_i \in \mathfrak{g}_1 \otimes \mathbb{C}, i = 1, \dots, k$ . Put  $\mathfrak{h} \subseteq \mathfrak{g} \otimes \mathbb{C}$  subalgebra they generate. Suppose  $\mathfrak{g}$  over  $\mathbb{R}$  is generated by  $\{\operatorname{Re}(x), \operatorname{Im}(x) : x \in \mathfrak{h}\}$ .

Then:

For any non-trivial irrep  $\pi$ ,  $\alpha \in \mathbb{N}$  odd,  $\pi(P)$  is injective.

Thank you!

Ευχαριστώ!