

# The use of $C^*$ -algebras in singular foliations and their representation theory

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# Examples

$M$ : compact manifold.

- 1 Orbits of (some) Lie group actions on  $M$ . Vector fields: image of infinitesimal action  $\mathfrak{g} \rightarrow \mathfrak{X}(M)$ .

Focus on  $\mathcal{F} = \langle X \rangle$ :

- 2  $X$  nowhere vanishing vector field of  $M \rightsquigarrow$  action of  $\mathbb{R}$  on  $M$ .
- 3 Irrational rotation on torus  $T^2$ : "Kronecker" flow of  $X = \frac{d}{dx} + \theta \frac{d}{dy}$ .  
 $\mathbb{R}$  injected as a dense leaf.
- 4 "Horocyclic" foliation:
  - ▶ Let  $\Gamma$  cocompact subgroup of  $SL(2, \mathbb{R})$ . Put  $M = SL(2, \mathbb{R})/\Gamma$ .
  - ▶  $\mathbb{R}$  is embedded in  $SL(2, \mathbb{R})$  by  $\begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix}, t \in \mathbb{R}$ .
  - ▶ Therefore  $\mathbb{R}$  acts on  $M$ . Action is ergodic,  $\exists$  dense leaves.

## Laplacians of Kronecker foliation

Kronecker foliation on  $M = T^2$ :  $\mathcal{F} = \langle \frac{d}{dx} + \theta \frac{d}{dy} \rangle$ .  $L = \mathbb{R}$

Two Laplacians:

- ▶  $\Delta_L = -\frac{d^2}{dx^2}$  acting on  $L^2(\mathbb{R})$
- ▶  $\Delta_M = -X^2$  acting on  $L^2(M)$

By Fourier:

- ▶  $\Delta_L \rightsquigarrow$  mult. by  $\xi^2$  on  $L^2(\mathbb{R})$ . Spectrum:  $[0, +\infty)$ .
- ▶  $\Delta_M \rightsquigarrow$  mult. by  $(n + \theta k)^2$  on  $L^2(\mathbb{Z}^2)$ . Spectrum **dense** in  $[0, +\infty)$ .

**Qn 1:** Do  $\Delta_L$  and  $\Delta_M$  have the same spectrum for every (regular) foliation?

**Qn 2:** If so, how to calculate this spectrum?

**Tools:** Holonomy groupoid  $H(\mathcal{F})$ , Longitudinal pseudodifferential calculus, Groupoid  $C^*$ -algebra(s).

# The $C^*$ -algebra of a Lie groupoid (Connes, Renault)

For  $f, g \in C_c^\infty(G)$ :

- ▶ we put  $f^*(x) = \overline{f(x^{-1})}$
- ▶ we want to form  $f * g$  by a formula

$$f * g(x) = \int_{yz=x} f(y)g(z)$$

In other words, we want to have an integration along the fibers of the composition  $G \times_{s,t} G \rightarrow G$ .

Use either **Haar systems** or **half densities**.

## Proposition

The above involution and product make  $C_c^\infty(G)$  a  $*$ -algebra.

**"Reduced"**  $C_r^*(G)$ : completion with left regular representation

**"Full"**  $C^*(G)$ : completion with all representations

Quotient  $C^*(G) \rightarrow C_r^*(G)$ .

## Basic tool: Pseudodifferential calculus (Connes)

The Lie algebra of vector fields tangent to the foliation acts by unbounded multipliers on  $C_c^\infty(G)$ . The algebra generated is the **algebra of differential operators**.

Using Fourier transform one can write a differential operator  $P$  (acting by left multiplication on  $f \in C_c^\infty(G)$ ) as:

$$(Pf)(x, y) = \int \exp(i\langle \phi(x, z), \xi \rangle) \alpha(x, \xi) \chi(x, z) f(z, y) d\xi dz$$

### Proposition (Connes)

- ▶ Negative order pseudodifferential operators  $\in C^*(M, F)$
- ▶ Zero order pseudodifferential operators: **multipliers** of  $C^*(M, F)$ .

Together with multiplicativity of the principal symbol this gives an exact sequence of  $C^*$ -algebras:

$$0 \rightarrow C^*(M, F) \rightarrow \Psi^*(M, F) \rightarrow C(SF^*) \rightarrow 0$$

# Laplacians revisited

Theorem (Connes, Kordyukov, Vassout)

Elliptic operators of positive order are **regular** unbounded multipliers (in the sense of Baaj-Woronowicz:  $\text{graph}(D) \oplus \text{graph}(D)^\perp$  is dense).

More generally  $M$  compact,  $(M, F)$  regular foliation.

- ▶ Lie algebra  $\mathcal{F} = C^\infty(M, F)$  acts on  $C^\infty(G)$  by unbounded multipliers.
- ▶ Laplacian  $\Delta = \sum X_i^2$  is an **unbounded (regular) multiplier** of  $C^*(M, \mathcal{F})$ .

$L^2(L), L^2(M)$  are representations of  $C^*(M, \mathcal{F})$ .

Proposition (Baaj, Woronowicz)

Every representation extends to regular multipliers.

We recover Laplacians  $\Delta_L, \Delta_M$ .

# Proof of theorems 1 and 2

## Theorem 1

$\Delta_M$  and  $\Delta_L$  are essentially self-adjoint.

- ▶  $L^2(M)$  and  $L^2(L)$ : representations of the foliation  $C^*$ -algebras.
- ▶ Recall (Baaj, Woronowicz): Every representation extends to regular multipliers.

image of the adjoint = adjoint of the image

## Theorem 2 (Kordyukov)

If all leaves  $L$  are dense + amenability assumptions,  $\Delta_M$  and  $\Delta_L$  have the same spectrum.

- ▶ (Fack and Skandalis): If the foliation is **minimal** (i.e. all leaves are dense) then the foliation  $C^*$ -algebra is simple. Whence all representations are faithful.
- ▶ Every injective morphism of  $C^*$ -algebras is isometric and isospectral.

# Elliptic operators - Gaps of their spectrum

## Theorem 3 (Connes)

In many cases, one can predict the possible gaps in the spectrum.

More precisely:

- ▶ Gaps in the spectrum  $\longrightarrow$  projections in  $C^*(M, F)$ .
- ▶ Projectionless  $C^*(M, F)$ : spectrum connected.
- ▶ Sometimes dimension function on projections (related with K-theory).
  - ▶ Values in  $\mathbb{N}$ : few projections.
  - ▶ values in a dense subset of  $\mathbb{R}_+$ : many projections.



# Examples

Horocyclic foliation: no gaps in the spectrum

Let the " $\alpha x + b$ " group act on a compact manifold  $M$ .

e.g.  $M = \mathrm{SL}(2, \mathbb{R})/\Gamma$  where  $\Gamma$  discrete co-compact group.

Leaves = orbits of the " $x + b$ " group (assume it is minimal).

The spectrum of the Laplacian is an interval  $[m, +\infty)$

Proof: We show  $C^*(M, F)$  projectionless.

- ▶  $\exists$  measure on  $M$  invariant by  $\alpha x + b$  (amenable).  $x + b$  invariance  $\implies$  trace on  $C^*(M, F)$  faithful since  $C^*(M, F)$  simple (Fack-Skandalis).
- ▶ The " $\alpha x$ " subgroup  $\longrightarrow$  action of  $\mathbb{R}_+^*$  on  $C^*(M, F)$  which scales the trace.
- ▶ Image of  $K_0$  countable subgroup of  $\mathbb{R}$ , invariant under  $\mathbb{R}_+^*$  action.

Similarly, Kronecker flow: Image of the trace  $\mathbb{Z} + \theta\mathbb{Z}$

Can be (more or less) any closed subset of  $\mathbb{R}_+$

# Conclusions

Theorems 1 and 2 generalize to any **singular** foliation!

Definition (Stefan, Sussmann, A-Skandalis)

A (singular) foliation is a finitely generated sub-module  $\mathcal{F}$  of  $C^\infty(M; TM)$ , stable under brackets.

Examples

- 1  $\mathbb{R}$  foliated by 3 leaves:  $(-\infty, 0)$ ,  $\{0\}$ ,  $(0, +\infty)$ .

$\mathcal{F}$  generated by  $x^n \frac{\partial}{\partial x}$ . **Different foliation** for every  $n$ .

- 2  $\mathbb{R}^2$  foliated by 2 leaves:  $\{0\}$  and  $\mathbb{R}^2 \setminus \{0\}$ .

No obvious best choice.  $\mathcal{F}$  given by the action of a Lie group

$$GL(2, \mathbb{R}), SL(2, \mathbb{R}), \mathbb{C}^*$$

IA+Skandalis (2006-today): Holonomy groupoid, foliation  $C^*$ -algebras, longitudinal pseudodifferential calculus...

Need to calculate  $K_0(C^*(\mathcal{F}))!$

# What does BC say? (I)

$\Gamma$  discrete group, torsion-free.

$$\mu : K_*^\Gamma(\underline{E}\Gamma) \rightarrow K_*(C_r^*(\Gamma)) \text{ isomorphism}$$

- ▶  $\underline{E}\Gamma$  = classifying space of proper  $\Gamma$ -actions (CW-complex)
- ▶ lhs =  $\Gamma$ -equiv. K-homology
- ▶ rhs = K-theory of reduced  $C^*$ -algebra
- ▶ completion with  $\mathbb{C}\Gamma \rightarrow B(\ell^2(\Gamma), \ell^2(\Gamma)), \quad g \mapsto r_g$

e.g.  $\Gamma = \mathbb{Z}^n$ :

- ▶  $\underline{E}\mathbb{Z}^n = B\mathbb{Z}^n = T^n$
- ▶  $C_r^*(\mathbb{Z}^n) = C(T^n)$  (Fourier)
- ▶  $\mu$  is Poicaré duality

## What does BC say? (II)

$G$  Lie group,  $K$  compact subgroup.  $G$  acts on  $M = K \backslash G$  on the right. Assume  $M$  has  $\text{Spin}^c$ -structure. Put  $R(K)$  the free abelian group of (classes of) irreducible representations of  $K$ .

Define **Dirac induction**  $\mu : R(K) \rightarrow K(C_r^*(G))$  as follows:

- ▶ Take  $\rho \in R(K)$ , say  $\rho : K \rightarrow GL(V)$ . Define a vector bundle  $V_\rho = G \times_K V$  over  $M$ .
- ▶ Levi-Civita connection of spinor bundle  $S \rightarrow M$  and Riemannian metric on  $M$  give Dirac operator  $D_\rho : \Gamma(V_\rho \otimes S) \rightarrow \Gamma(V_\rho \otimes S)$
- ▶ Pull back to  $G$  and put

$$\mu : R(K) \rightarrow K(C_r^*(G)), \quad \rho \xrightarrow{\mu} \text{Ind}(D_\rho)$$

Facts:

- ▶  $G$  compact,  $K = \{\text{pt}\}$ , get  $\mu = \text{id}$ .
- ▶  $K(C_r^*(G)) = K^G(\text{pt}) = R(G)$ .
- ▶  $K$  maximal compact,  $\underline{EG} = K \backslash G = M$ .
- ▶ Then, can identify  $R(K)$  with  $K_j^G(M)$ , where  $j = \dim(M) \bmod 2$ .

## What does BC say? (III)

General geometric situations formulated in terms of a Lie groupoid

$\mathcal{G} \rightrightarrows M$ : There exists an **assembly map**

$$\mu : K_*^{\text{top}}(\mathcal{G}) \rightarrow K_*(C_r^*(\mathcal{G}))$$

defined as an **analytic index map**. (Wrong-way functoriality...)

### Baum-Connes conjecture

The assembly map is an isomorphism. (Part of the conjecture is to specify explicitly the lhs!)

- ▶ How to read it: "All analytic representations come from geometry!"
- ▶ Analogue: Geometric quantization (apply Dirac induction to coadjoint orbits...)
- ▶ Counterexample by Higson, Lafforgue, Skandalis.
- ▶ Injectivity implies Novikov conjecture.
- ▶ Surjectivity implies Kaplansky conjecture.
- ▶ Use of BC: Calculate  $K(C_r^*(\mathcal{G}))$ !

## Careful look at action $SO(3) \curvearrowright \mathbb{R}^3$ (I)

$\dim(\text{Lie}(SO(3))) = 3$ , so  $\mathcal{F} = \text{span}_{C^\infty(M)} \langle X, Y, Z \rangle$ .

Take any  $(M, \mathcal{F})$ . At  $x \in M$  put  $\mathcal{F}_x = \mathcal{F}/I_x \mathcal{F}$ . Get exact sequence

$$0 \rightarrow \mathfrak{g}_x \rightarrow \mathcal{F}_x \xrightarrow{\text{ev}_x} T_x L_x \rightarrow 0$$

- ▶  $L_x$  regular  $\Rightarrow \mathcal{F}_x = T_x L_x$
- ▶  $L_x$  singular  $\Rightarrow \dim(\mathcal{F}_x) > \dim(L_x)$ .
- ▶  $\dim(\mathcal{F}_x)$  (upper) **semicontinuous**

For  $(\mathbb{R}^3, \mathcal{F})$  we have:

- ▶  $\mathcal{F}_0 = \mathfrak{g}_x = \text{Lie}(SO(3))$ , so  $\dim(\mathcal{F}_0) = 3$
- ▶ For  $x \neq 0$ ,  $\dim(\mathcal{F}_x) = 2$

$$H(\mathcal{F}) = (S^2 \times S^2 \times \mathbb{R}_*^+) \cup SO(3) \times \{0\}$$

## Careful look at action $SO(3) \curvearrowright \mathbb{R}^3$ (II)

$H(\mathcal{F}) = (S^2 \times S^2 \times \mathbb{R}_*^+) \cup SO(3) \times \{0\}$  decomposes  $\mathbb{R}^3$ :

- ▶  $\Omega_1 = \{x \in \mathbb{R}^3 : \dim(\mathcal{F}_x) \leq 3\} = \mathbb{R}^3$
- ▶  $\Omega_0 = \{x \in \mathbb{R}^3 : \dim(\mathcal{F}_x) \leq 2\} = \mathbb{R}^3 \setminus \{0\}$

Generalize to arbitrary  $(M, \mathcal{F})$ :

- ▶  $\dim(\mathcal{F}_x)$  upper semicontinuous  $\Rightarrow \Omega_i = \{x \in M : \dim(\mathcal{F}_x) \leq i\}$  open
- ▶ Also,  $Y_i = \Omega_i \setminus \Omega_{i-1}$  closed and saturated.

### Definition

**1** **Decomposition sequence** of  $(M, \mathcal{F})$ :

$$\Omega_0 \subseteq \Omega_1 \subseteq \dots \subseteq \Omega_{k-1} \subseteq \Omega_k = M$$

**2** We say that  $(M, \mathcal{F})$  has **height  $k$** . ( $k = +\infty$  allowed and possible!)

## Careful look at action $SO(3) \curvearrowright \mathbb{R}^3$ (II)

So foliation  $(\mathbb{R}^3, \mathcal{F})$  has height  $k = 1$ :

$$\Omega_0 = \mathbb{R}^3 \setminus \{0\}, \quad \Omega_1 = \mathbb{R}^3, \quad Y_0 = \Omega_0, \quad Y_1 = \{0\}.$$

- ▶  $C^*(M, \mathcal{F})|_{\Omega_0} = C_0(\Omega_0) \cdot C^*(M, \mathcal{F}) = C_0(\mathbb{R}_*^+) \otimes \mathcal{K}(L^2(S^2))$
- ▶  $C^*(M, \mathcal{F})|_{Y_1} = C^*(M, \mathcal{F})/C^*(M, \mathcal{F})|_{\mathbb{R}^2 \setminus Y_1} = C^*(SO(3))$

Exact sequence of (full)  $C^*$ -algebras:

$$0 \longrightarrow C_0(\mathbb{R}_*^+) \otimes \mathcal{K}(L^2(S^2)) \longrightarrow C^*(M, \mathcal{F}) \xrightarrow{\pi_{\mathcal{F}}} C^*(SO(3)) \longrightarrow 0$$

Action groupoid  $\mathcal{G} = \mathbb{R}^2 \rtimes SO(3) \rightrightarrows \mathbb{R}^3$ :

- ▶  $\mathcal{G}|_{Y_1} = H(\mathcal{F})|_{Y_1} = SO(3) \times \{0\}$
- ▶ Exact sequence:

$$0 \longrightarrow C_0(\mathbb{R}_*^+) \otimes (C(S^2) \rtimes SO(3)) \longrightarrow C_0(\mathbb{R}^3) \rtimes SO(3) \longrightarrow C^*(SO(3)) \longrightarrow 0$$



# Nicely decomposable foliations

## Definition

Let  $(M, \mathcal{F})$  singular foliation, decomposition sequence

$$\Omega_0 \subseteq \Omega_1 \subseteq \dots \subseteq \Omega_j \dots \subseteq M$$

Put  $Y_0 = \Omega_0$   $Y_j = \Omega_j \setminus \Omega_{j-1}$ .

A **nice decomposition** is

**1** sequence  $(W_j)_{0 \leq j \leq k}$  of open sets such that

$$Y_j \subset W_j \subset \Omega_j \quad W_j \cap \Omega_{j-1} \subset W_{j-1}$$

**2** Lie groupoids  $\mathcal{G}_j \rightrightarrows W_j$  which define  $\mathcal{F}|_{W_j}$  and

$$\mathcal{G}_j|_{Y_j} = H(\mathcal{F})|_{Y_j}$$

**3** morphisms  $q_j : \mathcal{G}_j|_{\Omega_{j-1} \cap W_j} \rightarrow \mathcal{G}_{j-1}$  (for  $j > 0$ ) which are **submersions**

# $SO(3) \curvearrowright \mathbb{R}^3$ : calculation (I)

$SO(3)$  compact, whence amenable. So  $C^*(\mathcal{F}) = C_r^*(\mathcal{F})$ .

$$\pi : \mathbb{R}^3 \rtimes SO(3) \rightarrow H(\mathcal{F}) = (S^2 \times S^2 \times \mathbb{R}_*^+) \cup SO(3) \times \{0\}$$

$$\begin{array}{ccccccc}
 0 & & & 0 \\
 \downarrow & & & \downarrow \\
 J & \xlongequal{\quad} & J \\
 \downarrow & & & \downarrow \\
 0 \longrightarrow C_0(\mathbb{R}_+^*) \otimes (C(S^2) \rtimes SO(3)) & \xrightarrow{i} & C_0(\mathbb{R}^3) \rtimes SO(3) & \longrightarrow C^*(SO(3)) \longrightarrow 0 & (ES4) \\
 \downarrow \hat{q} & & \downarrow \pi & \parallel & \\
 0 \longrightarrow C_0(\mathbb{R}_+^*) \otimes \mathcal{K}(L^2(S^2)) & \longrightarrow & C_r^*(\mathbb{R}^3, \mathcal{F}) & \longrightarrow C^*(SO(3)) \longrightarrow 0 & (ES5) \\
 \downarrow & & \downarrow & & \\
 0 & & 0 & & 
 \end{array}$$

where  $q$ : integration along fibers of  $(s, t) : S^2 \rtimes SO(3) \rightarrow S^2 \times S^2$ .

# Height 1 foliations

## Proposition

Given a diagram of exact sequences of  $C^*$ -algebras and morphisms:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & I & \xrightarrow{\quad i \quad} & B_1 & \longrightarrow & Q \longrightarrow 0 \\
 & & \downarrow \pi & & \downarrow & & \parallel \\
 0 & \longrightarrow & B_0 & \xrightarrow{\quad i' \quad} & A & \longrightarrow & Q \longrightarrow 0
 \end{array}$$

the mapping cone  $\mathcal{C}_{(\pi, i)}$  of the map  $(\pi, i) : I \rightarrow B_0 \oplus B_1$  is canonically  $E^1$ -equivalent to  $A$  (KK-equivalent).

Conclusion: Need to formulate the Baum-Connes conjecture for mapping cones!

$SO(3) \curvearrowright \mathbb{R}^3$ : calculation (II)

$\rho : C^*(SO(3)) \rightarrow \mathcal{K}(L^2(S^2))$  natural repn of  $SO(3)$  on  $L^2(S^2)$ .

$j : C^*(SO(3)) \rightarrow C(S^2) \rtimes SO(3)$  induced by unital inclusion  $\mathbb{C} \rightarrow C(S^2)$ .

$$\begin{array}{ccc}
 C^*(SO(3)) & \xrightarrow{j} & C(S^2) \rtimes SO(3) \\
 & \searrow \rho & \downarrow q \\
 & & \mathcal{K}(L^2(S^2))
 \end{array}$$

## $SO(3) \curvearrowright \mathbb{R}^3$ : calculation (III)

$C_0(\mathbb{R}^3) =$  mapping cone of  $\mathbb{C} \rightarrow C(S^2)$ . Taking crossed products by the action of  $SO(3)$  and using the first diagram, we find:

- ▶  $C_0(\mathbb{R}^3) \rtimes SO(3)$  in (EC5) is mapping cone  $\mathcal{C}_j$ , where

$$j : C^*(SO(3)) \rightarrow C(S^2) \rtimes SO(3)$$

- ▶ Foliation algebra  $C^*(\mathcal{F})$  in (EC6) is mapping cone  $\mathcal{C}_\rho$ .

## $SO(3) \curvearrowright \mathbb{R}^3$ : calculation (IV)

To describe  $C^*(\mathcal{F})$  it suffices to describe the representation

$$\rho : C^*(SO(3)) \rightarrow \mathcal{K}(L^2(S^2)).$$

- ▶ Peter-Weyl:  $C^*(SO(3)) = \bigoplus_{m \in \mathbb{N}} M_{2m+1}(\mathbb{C})$  and  $K_0(C^*(SO(3))) = \mathbb{Z}^{(\mathbb{N})}$  (and  $K_1(C^*(SO(3))) = \{0\}$ ).
- ▶ In order to compute the map  $\rho_* : K_0(C^*(SO(3))) \rightarrow \mathbb{Z}$ , we have to understand how many times the repn  $\sigma_m$  ( $\dim(\sigma_m) = 2m + 1$ ) appears in  $\rho$ , i.e. count dimension of  $\text{Hom}_{SO(3)}(\sigma_m, \rho)$ .
- ▶ Since  $S^2 = SO(3)/S^1$ ,  $\rho = \text{Ind}_{S^1}^{SO(3)}(\varepsilon)$  where  $\varepsilon$  **trivial repn** of  $S^1$ .
- ▶ Frobenius reciprocity thm:  
 $\dim(\text{Hom}_{SO(3)}(\sigma_m, \rho)) = \dim(\text{Hom}_{S^1}(\sigma_m, \varepsilon)) = 1$ .
- ▶ So  $\rho_* : K_0(C^*(SO(3))) \rightarrow \mathbb{Z}$  maps each generator  $[\sigma_m]$  of  $K_0(C^*(SO(3)))$  to 1.

$$K_0(C^*(\mathcal{F})) = \ker \rho_* \simeq \mathbb{Z}^{(\mathbb{N})} \quad K_1(C^*(\mathcal{F})) = 0$$

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## Proposition

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Conclusion: Need to formulate the Baum-Connes conjecture for mapping cones!

# Height $k > 1$ foliations

## Proposition

The previous result extends to foliations  $(M, \mathcal{F})$  of **any** height: The foliation  $C^*$ -algebra is “K”-equivalent (E-equivalent) to a mapping **telescope**.

Examples of higher height arise looking at flag manifolds... For instance:

- ▶ Let  $P$  be the minimal parabolic subgroup of  $GL(n, \mathbb{R})$  ( $P =$  uppertriangular matrices).
- ▶ Let  $P \times P$  act on  $GL(n, \mathbb{R})$  by left and right multiplication.
- ▶ Orbits labeled by symmetric group  $S_n$  (**Bruhat decomposition**)



## BC for singular foliations

### Theorem (I.A. and G. Skandalis)

Let  $(M, \mathcal{F})$  be a nicely decomposable foliation such that the classifying spaces of all the groupoids  $\mathcal{G}_k \rightrightarrows W_k$  involved in this decomposition are manifolds and if the *full* Baum-Connes conjecture holds for all of them, then the *full* Baum-Connes map is an isomorphism.

### Corollary

Let  $(M, \mathcal{F})$  be a nicely decomposable foliation. If all the groupoids  $\mathcal{G}_k \rightrightarrows W_k$  involved in this decomposition are amenable and their classifying spaces are manifolds, then the Baum-Connes map is an isomorphism.

Thank you Aristides!