

The leafwise Laplacian of a singular foliation

Iakovos Androulidakis

Department of Mathematics,
University of Athens

Shanghai, July 2012

Summary

1 Introduction

- Foliations and Laplacians
- Statement of 2+1 theorems

2 Proving these theorems

- Ingredients of the proof(s)
- Proofs

3 The singular case

- Almost regular foliations
- Stefan-Sussmann foliations

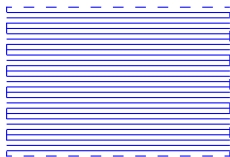
4 Generalizations: Singular foliations

- Constructions of A-Skandalis
- Generalization of 2+1 theorems

1.1 Definition: Foliation (regular)

Viewpoint 1:

Partition to connected submanifolds. Local picture:



In other words: There is an open cover of M by **foliation charts** of the form $\Omega = U \times T$, where $U \subseteq \mathbb{R}^p$ and $T \subseteq \mathbb{R}^q$.

T is the **transverse direction** and U is the **longitudinal** or **leafwise** direction.

The change of charts is of the form $f(u, t) = (g(u, t), h(t))$.

Viewpoint 2:

Frobenius theorem

Equivalently consider the **unique** $C_c^\infty(M)$ -module \mathcal{F} of vector fields tangent to leaves.

Fact: $\mathcal{F} = C_c^\infty(M, \mathcal{F})$ and $[\mathcal{F}, \mathcal{F}] \subseteq \mathcal{F}$.

Examples

M : compact manifold.

- 1 Orbits of (some) Lie group actions on M . Vector fields: image of infinitesimal action $\mathfrak{g} \rightarrow \mathcal{X}(M)$.
- 2 Poisson geometry: Symplectic foliation on M by Hamiltonian vector fields (not always regular). Determines the Poisson structure completely...

For the moment, focus on regular examples:

- 3 X nowhere vanishing vector field of $M \rightsquigarrow$ action of \mathbb{R} on M .
- 4 Irrational rotation on torus T^2 ("Kronecker flow"). \mathbb{R} injected as a dense leaf.
- 5 "Horocyclic" foliation:
 - Let Γ cocompact subgroup of $SL(2, \mathbb{R})$. Put $M = SL(2, \mathbb{R})/\Gamma$.
 - \mathbb{R} is embedded in $SL(2, \mathbb{R})$ by $\begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix}, t \in \mathbb{R}$.
 - Therefore \mathbb{R} acts on M . Action is **ergodic**, \exists **dense** leaves.

Laplacians

Kronecker foliation on $M = T^2$: Vector field $X = \frac{d}{dx} + \theta \frac{d}{dy}$. $L = \mathbb{R}$

Two Laplacians:

- $\Delta_L = -\frac{d^2}{dx^2}$ acting on $L^2(\mathbb{R})$
- $\Delta_M = -X^2$ acting on $L^2(M)$

By Fourier:

- $\Delta_L \rightsquigarrow$ mult. by ξ^2 on $L^2(\mathbb{R})$. Spectrum: $[0, +\infty)$.
- $\Delta_M \rightsquigarrow$ mult. by $(n + \theta k)^2$ on $L^2(\mathbb{Z}^2)$. Spectrum **dense** in $[0, +\infty)$.

Laplacians

More generally M compact, (M, \mathcal{F}) regular foliation. Each leaf is a complete Riemannian manifold.

Lie algebra $\mathcal{F} = C^\infty(M, \mathcal{F})$ acts on $C^\infty(H(\mathcal{F}))$ by unbounded multipliers. The algebra generated is the **algebra of differential operators**.

- Laplacian $\Delta = \sum X_i^2$ as an **unbounded multiplier** of $C^*(M, \mathcal{F})$.

$L^2(L), L^2(M)$ are representations of $C^*(M, \mathcal{F})$. We get:

Laplacian Δ_L acting on $L^2(L)$

Laplacian Δ_M acting on $L^2(M)$

Statement of 2+1 theorems

Theorem 1 (Connes, Kordyukov)

Δ_M and Δ_L are essentially self-adjoint.

Also true (and more interesting)

- for $\Delta_M + f, \Delta_L + f$ where f is a smooth function on M . (Schroedinger operators, conformal geometry, etc.)
- more generally for every leafwise elliptic (pseudo-)differential operator.

Not trivial because:

- Δ_M **not elliptic** (as an operator on M).
- L **not compact**.

Theorem 2 (Kordyukov)

If L is dense + amenability, Δ_M and Δ_L have the same spectrum.

Connes

In many cases, one can predict the possible gaps in the spectrum.

Basic tools

- 1 $C^*(M, F)$: (Renault) Completion of a convolution algebra of kernels

$$k(\gamma) = \int_{\gamma_1 \gamma_2 = \gamma} k_1(\gamma_1) k_2(\gamma_2)$$

where $\gamma, \gamma_1, \gamma_2 \in H(F)$.

- 2 Pseudodifferential calculus on $H(F)$ (Connes, Monthubert-Pierrot, Nistor-Weinstein-Xu)

$$0 \rightarrow C^*(M, F) \rightarrow \Psi^*(M, F) \rightarrow C(SF^*) \rightarrow 0$$

Proposition (Connes)

- Negative order pseudodifferential operators $\in C^*(M, F)$
- Zero order pseudodifferential operators: **multipliers** of $C^*(M, F)$.

Theorem (Vassout)

Elliptic operators of positive order are **regular** unbounded multipliers (in the sense of Baaj-Woronowicz: $\text{graph}(D) \oplus \text{graph}(D)^\perp$ is dense).

Proof of theorems 1 and 2

Theorem 1

Δ_M and Δ_L are essentially self-adjoint.

- $L^2(M)$ and $L^2(L)$: representations of the foliation C^* -algebras.
- (Baaj, Woronowicz): Every representation extends to regular multipliers.

image of the adjoint = adjoint of the image

Theorem 2 (Kordyukov)

If all leaves L are dense + amenability assumptions, Δ_M and Δ_L have the same spectrum.

- (Fack and Skandalis): Leaves dense \Rightarrow all representations are faithful.
- Every injective morphism of C^* -algebras is isometric and isospectral.

Examples for the Spectrum (Connes)

Horocyclic foliation: no gaps in the spectrum

Let the " $\alpha x + b$ " group act on a compact manifold M .

e.g. $M = \mathrm{SL}(2, \mathbb{R})/\Gamma$ where Γ discrete co-compact group.

Leaves = orbits of the " $x + b$ " group (assume it is minimal).

The spectrum of the Laplacian is an interval $[m, +\infty)$

Proof

- gaps in spectrum \longrightarrow projections in $C^*(M, F)$.
- \exists invariant measure by $\alpha x + b \implies$ trace on $C^*(M, F)$ faithful (Fack-Skandalis).
- The " αx " subgroup \longrightarrow action of \mathbb{R}_+^* which scales the trace.
- Image of K_0 countable subgroup of \mathbb{R} , invariant under \mathbb{R}_+^* action.

Similarly, Kronecker flow: Image of the trace $\mathbb{Z} + \theta\mathbb{Z}$

Can be a Cantor type set

Analytic index

Baum-Connes predicts $K_0(C^*(M, \mathcal{F}))$: Assembly map is a kind of **analytic index**...

Analytic index can be obtained in 2 ways:

- ① $\Psi^*(M, \mathcal{F})$ extension, mapping cones, etc...

$$\rightsquigarrow [\text{Ind}] \in \text{KK}(C_0(F^*); C^*(M; \mathcal{F}))$$

- ② Tangent groupoid $\mathcal{G} = F \times \{0\} \cup H(\mathcal{F}) \times (0, 1]$:

- $0 \rightarrow C^*(G) \otimes C_0((0, 1]) \rightarrow C^*(\mathcal{G}) \rightarrow C_0(A^*G) \rightarrow 0$

- $[\text{Ind}] = [\text{ev}_1] \otimes [\text{ev}_0^{-1}] \in \text{KK}(C_0(F^*); C^*(M; \mathcal{F}))$

3.1 Almost injective algebroids

Recall **Frobenius** theorem

Δ only depends on the bundle $F \subset TM$ of vector fields tangent to the leaf.

Serre-Swan Theorem

Bundles = Finitely generated projective $C^\infty(M)$ -modules.

$$E \longleftrightarrow C^\infty(M; E)$$

Debord's setting

\mathcal{A} : finitely generated projective sub-module of $C^\infty(M; TM)$, stable under brackets.

Equivalently:

Lie algebroid with anchor $A_x \rightarrow T_x M$, injective in a dense set.

Image F_x . Dimension lower semi-continuous.

Example: $\mathcal{A} = \langle X \rangle$ s.t. $\text{Int}\{X = 0\}$ is empty.

Almost injective algebroids II

Theorem (Debord, Pradines- Bigonnet, Crainic-Fernandes)

Every almost injective algebroid is integrable.

So it comes from a Lie groupoid; Whence

- C^* -algebra
- pseudodifferential calculus
- Elliptic operators: regular multipliers

Furthermore, well-defined Laplacian

- Theorems 1 and 2: Exactly same proof
- Theorem 3: No gaps for a manifold with conic singularities obtained using a finite covolume subgroup of $SL(2, \mathbb{R})$

3.2 Stefan-Sussmann foliations

Definition (Stefan, Sussmann, A-Skandalis)

A (singular) foliation is a finitely generated sub-module \mathcal{F} of $C^\infty(M; TM)$, stable under brackets.

No longer projective. Fiber $\mathcal{F}_x = \mathcal{F}/I_x\mathcal{F}$: upper semi-continuous dimension. One may still define leaves (Stefan-Sussmann).

Actually: Different foliations may yield same partition to leaves

Examples

- ① \mathbb{R} foliated by 3 leaves: $(-\infty, 0)$, $\{0\}$, $(0, +\infty)$.
 \mathcal{F} generated by $x^n \frac{\partial}{\partial x}$. **Different foliation** for every n .
- ② \mathbb{R}^2 foliated by 2 leaves: $\{0\}$ and $\mathbb{R}^2 \setminus \{0\}$.
 No obvious best choice. \mathcal{F} given by the action of a Lie group

$$GL(2, \mathbb{R}), SL(2, \mathbb{R}), \mathbb{C}^*$$

Constructions of A-Skandalis

In this general setting, one may still construct:

- a holonomy groupoid. **Extremely singular...**
- The foliation C^* -algebra (and its representations...)
- The cotangent "bundle": Not a bundle since dimension of fibres not constant. But \mathcal{F}^* : nice **locally compact space**.
- The pseudodifferential calculus... complicated...
 - ① $0 \rightarrow C^*(M, \mathcal{F}) \rightarrow \Psi^*(M, \mathcal{F}) \rightarrow C_0(\mathcal{F}^*) \rightarrow 0$
 - ② Elliptic operators of positive order are **regular unbounded multipliers**

And also

- Analytic index (element of $\text{KK}(C_0(\mathcal{F}^*); C^*(M, \mathcal{F}))$)
- tangent groupoid + defines same KK element.

Holonomy transformations I: Regular case

\mathcal{F} sections of F involutive subbundle of TM .

$\gamma : [0, 1] \rightarrow M$ path on a leaf, S_x, S_y transversals at $x = \gamma(0), y = \gamma(1)$

For any t , extend $\dot{\gamma}(t)$ to a time-dependent v.f $Z_t \in \mathcal{F}$

Define $\Gamma : S_x \times [0, 1] \rightarrow M$ following the flow of Z_t on points of S_x .
(Assume $\Gamma(q, 1) \subseteq S_y$).

Define **holonomy of γ** the **germ** at x of

$$\text{hol}_\gamma : S_x \rightarrow S_y \quad q \mapsto \Gamma(q, 1)$$

Does not depend on choice of Z_t . Get maps

- {homotopy classes of paths γ } $\mapsto \text{GermAut}_{\mathcal{F}}(S_x; S_y)$ (holonomy)
- {homotopy classes of paths γ } $\mapsto \text{Iso}(T_x S_x; T_y S_y)$ (linear holonomy)

Holonomy transformations II: Singular case

Take $M = \mathbb{R}$, $\mathcal{F} = \langle x \frac{\partial}{\partial x} \rangle$ and $x = y = 0$.

Transversal = neighborhood of 0 in \mathbb{R} .

Constant path $\gamma(t) = 0$ admits many extensions, e.g.

- ① flow of zero vector field: $\Gamma : S_0 \times [0, 1] \rightarrow S_0$, $(x, t) \mapsto x$;
- ② flow of $x \frac{\partial}{\partial x}$: $\Gamma(x, t) = e^t x$

Observation 1

Different choices of Γ differ by the flow of $X \in \mathcal{F}(x) = \{X \in \mathcal{F} : X(x) = 0\}$.

Hence $\Gamma(\cdot, 1)$ gives a class in $\frac{\text{GermAut}_{\mathcal{F}}(S_x, S_x)}{\exp(\mathcal{F}(x))}$

Observation 2 (A-Zambon)

Not linearizable! To make it so, must consider $\frac{\text{GermAut}_{\mathcal{F}}(S_x, S_x)}{\exp(I_x \mathcal{F})}$.

Bi-submersions

Take $x \in M$, put $\mathcal{F}_x = \mathcal{F}/I_x\mathcal{F}$. Then $\dim(\mathcal{F}_x) = n < \infty$

- Take $X_1, \dots, X_n \in \mathcal{F}$ generating \mathcal{F} .
- Find $U \subset \mathbb{R}^n \times M$ neighborhood of $(x, 0)$ where $t : U \rightarrow M$ is defined:

$$t(\lambda_1, \dots, \lambda_n, y) = \exp_y \left(\sum_{i=1}^n \lambda_i X_i \right)$$

- Put $s = \text{pr}_2$. Then $s, t : U \rightarrow M$ submersions and U foliated by

$$s^{-1}(\mathcal{F}) = t^{-1}(\mathcal{F}) = C^\infty(U; \ker ds) + C^\infty(U; \ker dt)$$

(Leaves: $s^{-1}(L) \cap t^{-1}(L)$ where L leaf of \mathcal{F} .)

A **bisection** b of s, t carries a **holonomy** h s.t. $h_*(\mathcal{F}) \subseteq \mathcal{F}$:

$$h = t|_b \circ (s|_b)^{-1}$$

Bi-submersions (U, t, s) as such, provide a **stable** way to keep track of holonomies near the identity.

Holonomy groupoid

Holonomy groupoid $H(\mathcal{F})$ can be realized as a quotient of a collection of bi-submersions covering M ...

Whence $\sharp : U \rightarrow H(\mathcal{F})$ is a **smooth cover** of an open subset of $H(\mathcal{F})$.

- ① (Almost) regular case: $H(\mathcal{F})$ **usual** holonomy groupoid.
- ② $\mathcal{F} = \rho(AG)$: $H(\mathcal{F})$ is a **quotient** of G .
- ③ $\mathcal{F} = \langle X \rangle$ s.t. X has non-periodic integral curves around $\partial\{X = 0\}$:

$$H(\mathcal{F}) = H(X)|_{\{X \neq 0\}} \cup \text{Int}\{X = 0\} \cup (\mathbb{R} \times \partial\{X = 0\})$$

- ④ action of $SL(2, \mathbb{R})$ on \mathbb{R}^2 :

$$H(\mathcal{F}) = (\mathbb{R}^2 \setminus \{0\})^2 \cup SL(2, \mathbb{R}) \times \{0\}$$

topology: Let $x \in \mathbb{R}^2 \setminus \{0\}$. Then $(\frac{x}{n}, \frac{x}{n}) \in H(\mathcal{F})$ converges to every g in stabilizer group of x ... namely to every point of \mathbb{R} !

Generalization of Theorem 1

A-Skandalis

Using bi-submersions **can** construct $C^*(\mathcal{F})$ and longitudinal pseudodifferential calculus!

Outline:

- U is a smooth manifold, so it has lots of smooth functions.
- Just like $H(\mathcal{F}) = (\coprod_i U_i) / \sim$, the convolution algebra is a quotient

$$\mathcal{A} = \oplus_i C_c^\infty(U_i) / \sim \quad (\text{use densities...})$$

Theorem 1 (A-Skandalis)

Let M be a smooth compact manifold. Let $X_1, \dots, X_N \in C^\infty(M; TM)$ be smooth vector fields such that $[X_i, X_j] = \sum_{k=1}^N f_{ij}^k X_k$.

Then $\Delta = \sum X_i^* X_i$ is essentially self-adjoint (both in $L^2(M)$ and $L^2(L)$).

Proof

This operator is indeed a regular unbounded multiplier of our C^* -algebra.

Generalization of Theorem 2

Theorem (Skandalis)

Assume that the (dense open) set $\Omega \subset M$ where leaves have maximal dimension is Lebesgue measure 1. Assume the restriction of all leaves to Ω are **dense** in Ω . Assume that the holonomy groupoid of the restriction of \mathcal{F} to Ω is Hausdorff and amenable. Then Δ_M and Δ_L have the same spectrum.

Proof

- The C^* -algebra $C^*(\Omega, \mathcal{F})$ is simple (Fack-Skandalis) and sits as a two-sided ideal in $C^*(M, \mathcal{F})$.
- $L^2(L)$ and $L^2(M)$ are faithful representations of $C^*(\Omega, \mathcal{F}) \Rightarrow$ weakly equivalent.
- The natural representations of $C^*(M, \mathcal{F})$ to $L^2(L)$ and $L^2(M)$ are extensions to multipliers of faithful representations of $C^*(\Omega, \mathcal{F})$. They are weakly equivalent.

The singular extension of the foliation to the closure M of Ω is used to

What about the spectrum?

Need to know the "shape" of $K_0(C^*(M, \mathcal{F}))$.

Note that for singular foliations:

- ① in many cases the holonomy groupoid is longitudinally smooth and restricts to a nice groupoid.
- ② leaves of a given dimension:
locally closed subsets \longrightarrow decomposition series for the C^* -algebra.

Questions

- Is this always the case?
- Give then a formula for the K-theory: Baum Connes conjecture...

Answers...

- ① A - M. Zambon: Longitudinal smoothness controlled by "essential isotropy groups" attached to each leaf. When discrete, $H(\mathcal{F})$ longitudinally smooth.
- ② Conjecture: Baum-Connes true for \mathcal{F} iff true for each stratum.

Papers

- [1] I. A. and G. Skandalis. The holonomy groupoid of a singular foliation. *J. Reine Angew. Math.*, 2009.
- [2] I. A. and G. Skandalis. Pseudodifferential Calculus on a singular foliation. *J. Noncomm. Geom.*, 2011.
- [3] I. A. and G. Skandalis. The analytic index of elliptic pseudodifferential operators on singular foliations. *J. K-theory*, 2011.
- [4] I. A. and M. Zambon. Smoothness of holonomy covers for singular foliations and essential isotropy. [arXiv:1111.1327](https://arxiv.org/abs/1111.1327)
- [5] I.A. and M. Zambon. Holonomy transformations for singular foliations. [arXiv:1205.6008](https://arxiv.org/abs/1205.6008)